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Date of Deposit: August 9, 2002

Attorney Docket No. 24220-012



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Srikanth Sundararajan
SERIAL NUMBER : 09/994,386 EXAMINER : Not Yet Assigned
FILING DATE : November 27, 2001 ART UNIT : 2161
FOR : Method and System for Managing Component Changes to a business Critical
Application in a Production Environment

BOX IDS

Commissioner for Patents
Washington, D.C. 20231



30623

PATENT TRADEMARK OFFICE

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (1 page), in duplicate;
3. Copies of Cited References A2-A5 and C1; and
4. Return Postcard.

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 24220-012. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Brett N. Dorny, Esq. (Reg. No. 35,860)

Attorney for Applicants

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.

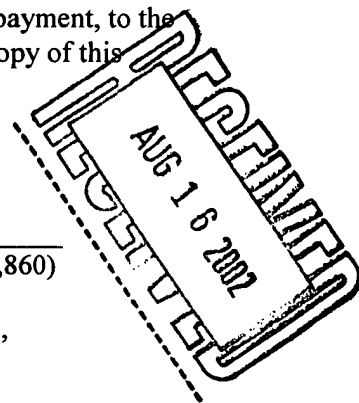
One Financial Center

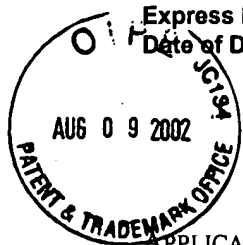
Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

Dated: August 9, 2002





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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the cited documents.

This Supplemental Information Disclosure Statement is being filed within three months of the mailing date of the International Search Report for PCT/US 01/44470 that corresponds to the above-identified application. Accordingly, no fee or certification is believed due at this time.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

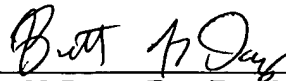
By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: Sundararajan
U.S.S.N.: 09/994,386

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24220-012

Respectfully submitted,



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Dated: August 9, 2002

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| Modified Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | 09/994,386 |
| | Filing Date | 11/27/2001 |
| | First Named Inventor | Sundararajan |
| | Group Art Unit | 2161 |
| | Examiner Name | Not Yet Assigned |
| | Attorney Docket Number | 24220-012 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----------|--------------------------|------------|-------------------------------------|-------|-----------|----------------------------|
| Exam Initials | Cite No. | U.S. Patent Document No. | Issue Date | Name of Patentee(s) or Applicant(s) | Class | Sub Class | Filing Date If Appropriate |
| | A2 | 5,958,012 | 09/28/99 | Battat, et al. | 709 | 224 | 07/15/1997 |
| | A3 | 6,006,034 | 12/21/99 | Heath, et al. | 395 | 712 | 09/05/1996 |
| | A4 | 6,009,274 | 12/28/99 | Fletcher, et al. | 395 | 712 | 06/24/1997 |
| | A5 | 6,098,097 | 08/01/00 | Dean, et al. | 709 | 220 | 08/01/2000 |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|----------|---------------------------------------|-------------------------------------|---------------------|--------------------|
| Exam Initials | Cite No. | Foreign Patent Document Office Number | Name of Patentee(s) or Applicant(s) | Date of Publication | Translation Yes No |
| | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | |
|---|----------|--|
| Exam Initials | Cite No. | Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc. |
| | C1 | International Search Report for PCT/US 01/44470. Mailed on June 27, 2002. |

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

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